Southern Health NHS
NHS Foundation Trust

REPORT TO THE PUBLIC TRUST BOARD
Date: 28th June
Agenda Item: 8

Title	Strategic Risk Register
Sponsoring Director	Interim Medical Director - Dr Helen McCormack
Author(s)	Head of Risk and Business Continuity - Fiona Richey
Purpose	• To present the draft format for revised Strategic Risk Register and outline draft plan for active risk management priorities for consideration by the Board • Present outline plan for the management of the Strategic Risk Register
Previously Considered by	Trust Executive Group 13th and 20th June 2012

Executive Summary

The Strategic Risk Register enables the Board of Southern Health NHS Foundation Trust to:

- Identify and understand the most significant risks – both internal and external - critical to the success of the organisation and the delivery of the Forward Plan for 2012-13
- To agree acceptable levels of strategic risk and approve the actions required to mitigate risks to this level
- To receive assurance mitigating actions are being taken and risks are being appropriately managed

Related Trust Objectives/Priorities	**Sub Objectives/Priorities**
It is relevant to the delivery of all strategic goals	Develop fit for purpose internal processes and integrated governance systems that enable us to manage the organization safely and effectively, and drive quality – achieving and sustaining a Green Governance rating
Risk and Assurance	
Related Assurance Framework/Risk Register Entries	Linked to Board Assurance Framework and Operational Risk Registers
Legal Implications	N/A
Resource Implications	
Regulatory Requirements	Monitor National Health Litigation Authority Care Quality Commission

Action Required

- To review the Draft Strategic Risk Register - Advise if all appropriate risks have been captured
- Agree proposal for future management plans

Strategic Risk Register

1. Purpose

This paper presents the proposed revised Board Strategic Risk Register. The Strategic Risk Register enables the Trust to identify and monitor the management of those risks and issues it considers most significant to the achievement of its strategic objectives and corporate strategy. It is relevant to the delivery of all strategic goals.

2. Background

During 2011/12 the legacy risk processes of the previous organisations have been brought together and a revised risk management strategy and policy has been developed to support the organisation moving forward. These documents meet the requirements of NHSLA risk management standards. The Strategy and Policy ensure there is an effective process and infrastructure in place for identifying and managing risks across the organisation that could impact on the goals, vision and objectives of the organisation as set out in the corporate objectives and the annual business plan.

For Southern Health to manage its risks effectively it follows a cyclical process to ensure the Trust and the Board:

- Understands the nature of the risks it faces
- Is aware of the extent of these risks
- Identifies the level of risk it is willing to accept (risk appetite)
- Recognises its ability to control and reduce risk
- Implements action where appropriate
- Monitors the impact of those actions

At the centre of the Board's risk management process is the Strategic Risk Register which identifies those risks which could threaten the ongoing success of the organisation in achieving its strategic objectives and delivery its business plan and key goals.

3. Proposal

The Draft Strategic Risk Register Template is set out in Appendix A and has been developed to reflect the Strategy and Policy, recommendations of Internal Audit, the SHFT's four Strategic Objectives and Forward Plan for 2012/13 and any strategic risks arising from the Ridgeway Transition Programme.

The Trust Executive Committee discussed the format of the Strategic Risk Register on 13th June 2012 and reviewed the format on 20th June 2012. The Register will include key controls in place and actions to reduce or mitigate the risk. The Register demonstrates progress by showing the original, current and projected risk scores.

Risks most significant to the Trust will be identified though this process on a monthly basis. The Strategic Risk Register update for Trust Board June 2012 will be presented verbally with hard copies available upon request.

Actions identified will be monitored monthly by the Chief Executive at the Trust Executive Group; Lead Directors will be responsible for ensuring detailed work programmes are in place to ensure delivery of action within timeframes and will report on progress through routine Board reporting and by exception if slippage is anticipated.

The Trust Executive Group will update the Strategic Risk Register monthly. The Board will receive the Strategic Risk Register in full monthly.

The Board will review the Strategic Risk Register in full at each meeting to ensure the risks remain relevant to the organisation, reflect the issues the Board believes are most pertinent and is assured that robust controls are in place or further actions in place to manage risks to an acceptable level.

4. Next Steps

Once the Strategic Risks have been agreed Executive Directors will be required to provide the mitigating controls, risk ratings, actions and appropriate timeframes for each of their risks.

Once the Strategic Risk Register is approved the risks will be updated onto the Trust Risk Management Data System Ulysses (Safeguard). The Strategic Risk Register will be aligned with the Board Assurance Framework which is also in development, and each document will cross-reference the other where appropriate to avoid duplication.

The Strategic Risk Register is currently scrutinised by Assurance Committee and is on the agenda for July 2012. The Assurance Committee will also utilise the Register to identify areas it wishes to focus on.

Following the completion of the Trust review of governance infrastructure the Risk Management Strategy and Policy will be updated and the Strategic Risk Register will be updated and reviewed at the appropriate committee as well as the Trust Board.

5. Recommendation

The Board is asked to approve the revised format for the Strategic Risk Register

6. Appendices

APPENDIX A: Strategic Risk Register Matrix